

MARY ANN SMITH
Deputy Commissioner
SEAN ROONEY
Assistant Chief Counsel
BLAINE A. NOBLETT (State Bar No. 235612)
Senior Counsel
Department of Business Oversight
320 West 4th Street, Suite 750
Los Angeles, California 90013-2344
Telephone: (213) 576-1396
Facsimile: (213) 576-7181

Attorneys for Complainant

BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT
OF THE STATE OF CALIFORNIA

In the Matter of:)	CFL LICENSE NO.: 603-K706
)	
THE COMMISSIONER OF BUSINESS)	NOTICE OF PETITION FOR
OVERSIGHT,)	REINSTATEMENT
)	
Complainant,)	(Gov. Code, § 11522)
)	
v.)	
)	
ROCKLAND FUND FINANCIAL LLC,)	
)	
Respondent.)	
)	
)	
)	

TO: XAVIER BECERRA
ATTORNEY GENERAL, STATE OF CALIFORNIA
Department of Justice
P.O. Box 944255
Sacramento, California 94244-2550

ROCKLAND FUND FINANCIAL LLC
222 N. Sepulveda Boulevard, Suite 2000
El Segundo, California 90245

Under Government Code section 11522, you are hereby notified that on or about January 30,

2018, Respondent Rockland Fund Financial LLC (Rockland) filed a Petition for Reinstatement of License (Petition) with the Commissioner of Business Oversight (Commissioner). A true and correct copy of the Petition is attached hereto and incorporated herein as Exhibit 1.

On March 22, 2017, and to be effective April 11, 2017, the Commissioner issued an order revoking Rockland's California Financing Law lender and broker licenses for the company's failure to file its 2016 annual report as was required under section 22159, subdivision (a) of the Financial Code. A true and correct copy of the revocation order is attached hereto and incorporated herein as Exhibit 2. At the time of revocation, Rockland was also in violation of Financial Code section 22112 for failing maintain a surety bond in the minimum amount of \$25,000.00 as is required under the California Financing Law.

YOU ARE HEREBY FURTHER NOTIFIED that written argument or further written argument may be presented in this matter and should be received by the Department of Corporations **on or before August 30, 2018**, at its offices located at 320 W. 4th Street, Suite 750, Los Angeles, California 90013-2344, attention: Blaine A. Noblett, Senior Counsel.

The Commissioner shall render a decision in this matter on or before October 1, 2018.

DATED: August 9, 2018
Los Angeles, California

JAN LYNN OWEN
Commissioner of Business Oversight

By _____
Blaine A. Noblett
Senior Counsel
Enforcement Division